

**Risk & Assurance Committee**

**23 June 2026**

**Report for Agenda Item | Rīpoata moto e Rāraki take [1]**

**Department: Assurance, Finance & Risk**

**Title | Taitara: Risk Management Update, June 2026**

**Purpose of the Report | Te Take mō te Pūroko**

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The purpose of this report is to provide a governance update regarding the status of the Queenstown Lakes District Council (QLDC) risk context.

**Recommendation | Kā Tūtohuka**

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That the Risk & Assurance Committee:

1. **Note** the contents of this report.

**Prepared by:**



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**Title:** Assurance & Risk Manager  
18 May 2026

**Reviewed and Authorised by:**



**Name:** Katherine Harbrow  
**Title:** GM Assurance, Finance & Risk  
2 June 2026

## Context | Horopaki

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1. This report seeks to support the governance role of the Risk & Assurance Committee (the Committee; RAC) by providing updates on material changes in Council risk context.
2. The report informs the Committee to enable it to discharge its roles and responsibilities as provided for in the Risk Management Policy.

## Analysis and Advice | Tatāritaka me kā Tohutohu

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3. **Attachment A** provides a summary of Tier 1 strategic risks recorded in the TechOne Risk Register. Note the format of the Strategic Risk Summary has been modelled off the Auckland Council report as requested at the last RAC meeting.
4. Since the last risk management report to RAC (9 April 2026), a decision has been made to merge strategic risks RISK10012 'Ineffective mitigation response to the declared climate and ecological emergency' and RISK10059 'Ineffective planning and action to support climate change adaption'. In effect this has meant that RISK10059 has been made inactive and RISK10012 will be maintained.
5. As outlined in **Attachment A**, RISK10044, 'Inability to meet Te Tiriti o Waitangi obligations' has been reviewed during the reporting period. This review has resulted in the inherent and residual risk rating being increased to 'high'.
6. During the reporting period a review was undertaken of RISK10023, 'Property disruption event to facility or service' (operational Tier 1 Risk), considering the implementation of controls. The risk has 9 associated treatment plans, including additional controls implemented through the Facilities Maintenance Management (FMM) Technical Specification and oversight via defined roles and responsibilities as a core component of a Contractor Management Framework. As a result of the implementation of controls, the residual risk rating of RISK10023 has been reduced from 'moderate' to 'low' as the likelihood of a 'major' event is now considered to be 'unlikely' rather than 'moderate'.
7. There have been no other material changes to Tier 1 risks during the reporting period.
8. Options have not been assessed as this report is for noting purposes only.

## Consultation Process | Hātepe Matapaki

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### Significance and Engagement | Te Whakamahi I kā Whakaaro Hiraka

9. This matter is of low significance, as determined by reference to the Council's Significance and Engagement Policy 2024 because it has:

- A lower impact on the importance to the Queenstown Lakes District, providing an increased level of assurance at little additional cost;
- A lower significance in relation to community interest, as it does not provide for material changes in how services are delivered;
- A lower significance in relation to consistency with existing policies and strategies, as the Risk Management update provides Governance oversight;
- A lower impact on the Council's capability and capacity, as the matters outlined in the report provide increased assurance regarding QLDC's risk framework;
- A lower impact in relation to climate change, supporting the management of risks in this regard; and
- A lower impact in relation Mana Whenua, supporting the management of risks in this regard.

10. The persons who are affected by or interested in this matter are all residents/ratepayers of the Queenstown Lakes district community, as the matters outlined in this report provide increased assurance regarding QLDC's risk framework.

11. The Council has not undertaken consultation in relation to matters outlined in this report, because the matters are of low significance.

### **Māori Consultation | Iwi Rūnaka**

12. As the significance of this matter is low, no consultation with the community or local iwi is required.

### **Risk and Mitigations | Kā Raru Tūpono me kā Whakamaurutaka**

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13. This matter relates to the management of all strategic and operational risks that are documented within the QLDC Risk Register. The QLDC Risk Management Framework and risk management maturity work programme helps to support the development of a more engaged and capable resilience culture across the Council, leading to more effective risk identification, evaluation, and mitigation outcomes.

### **Financial Implications | Kā Riteka ā-Pūtea**

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14. There are no financial implications associated with this matter.

### **Council Effects and Views | Kā Whakaaweawe me kā Tirohaka a te Kaunihera**

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15. The following Council policies, strategies and bylaws were considered:

- Strategic Framework including the Vision Beyond 2050: Our Strategic Framework | Queenstown Lakes District Council has been considered during risk identification, analysis, evaluation and treatment planning
- QLDC Risk Management Policy
- Climate Action Plan
- QLDC Long Term Plan
- QLDC Spatial Plan
- QLDC Financial Strategy
- 30 Year Infrastructure Strategy

16. The matters outlined in this report support the Long Term/Annual Plans through ensuring that an effective risk framework is in place to provide assurance regarding the delivery of planned programmes.

#### Local Government Act 2002 Purpose Provisions | Te Whakatureture 2002 o te Kāwanataka ā-Kiaka

17. Section 10 of the Local Government Act 2002 states the purpose of local government is (a) to enable democratic local decision-making and action by, and on behalf of, communities; and (b) to promote the social, economic, environmental, and cultural well-being of communities in the present and for the future. There are no recommendations in this report. The activities of the Assurance and Risk Team outlined in this report:

- Can be implemented through current funding under the Long Term Plan and Annual Plan;
- Are consistent with the Council's plans and policies; and
- Would not significantly alter the intended level of service provision for any significant activity undertaken by or on behalf of the Council or transfer the ownership or control of a strategic asset to or from the Council.

#### Attachments | Kā Tāpirihaka

A	Tier 1 Strategic Risk Report
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